



FINANCIAL
SERVICES
INSTITUTE

VOICE OF INDEPENDENT
FINANCIAL SERVICES
FIRMS AND INDEPENDENT
FINANCIAL ADVISORS

ADVISOR STAFF EMPLOYEE MEMBERSHIP APPLICATION

CONTACT INFORMATION

PREFIX NAME

ADVISOR'S NAME

ADVISOR'S CRD #

TITLE

BROKER-DEALER/RIA AFFILIATION

MAILING ADDRESS

CITY

STATE

ZIP

PHONE NUMBER

FAX

EMAIL

INDIVIDUAL MEMBERSHIP DUES

\$49 annually

PAYMENT

By Fax: 202-664-5111

By Mail: Financial Services Institute
1201 Pennsylvania Ave. NW, Suite 700, Washington, D.C. 20004

Check Enclosed: Make check payable to: Financial Services Institute, Inc.

American Express

VISA

MasterCard

Discover

CREDIT CARD NUMBER

EXPIRATION DATE

SECURITY CODE

NAME ON CARD

SIGNATURE

In order to ensure you do not experience disruption with your membership benefits, and that any benefits you may be enrolled in continue without interruption, your coverage will be renewed automatically and billed to your credit/debit card annually. You may cancel any time by contacting us. We will email you approximately 30 days in advance as a reminder of the upcoming annual charge and inform you of any changes to the cost of your selected benefit. If you do not change or cancel the benefit, you will be billed for the cost as provided in the notice.

Advisor Staff Employee membership is open to W-2 employee support staff of licensed financial advisors working in retail financial advisory practices. This includes (but is not limited to) registered and non-registered sales assistants, operations, marketing, compliance, technology and administrative staff. While it is not required that the financial advisor employer be a member of FSI, all staff employee member records must be affiliated with an advisor record in our database. Advisor Staff Employee members must notify FSI if their employment at their current practice ends as soon as possible.

*In accordance with the IRC SEC 6003, 100% of membership dues is estimated for lobbying, and is not deductible.
FSI membership dues may not be deducted as a business expense or a charitable contribution.*