



**FINANCIAL  
SERVICES  
INSTITUTE**

VOICE OF INDEPENDENT  
FINANCIAL SERVICES  
FIRMS AND INDEPENDENT  
FINANCIAL ADVISORS

## FINANCIAL ADVISOR MEMBERSHIP APPLICATION

### CONTACT INFORMATION

PREFIX	NAME	DESIGNATION	CRD #
TITLE		BROKER-DEALER/RIA AFFILIATION	
MAILING ADDRESS			
CITY		STATE	ZIP
PHONE NUMBER		FAX	
EMAIL			

### INDIVIDUAL MEMBERSHIP DUES

\$199 annually

### PAYMENT

**By Fax:** 202-664-5111

**By Mail:** Financial Services Institute  
1201 Pennsylvania Ave. NW, Suite 700, Washington, D.C. 20004

**Check Enclosed:** Make check payable to: Financial Services Institute, Inc.

American Express

VISA

MasterCard

Discover

CREDIT CARD NUMBER

EXPIRATION DATE

SECURITY CODE

NAME ON CARD

SIGNATURE

*In order to ensure you do not experience disruption with your membership benefits, and that any benefits you may be enrolled in continue without interruption, your coverage will be renewed automatically and billed to your credit/debit card annually. You may cancel any time by contacting us. We will email you approximately 30 days in advance as a reminder of the upcoming annual charge and inform you of any changes to the cost of your selected benefit. If you do not change or cancel the benefit, you will be billed for the cost as provided in the notice.*

*Financial Advisor Membership is open to any FINRA, SEC or state-licensed individual partner, director, officer, employee, or independent contractor of a retail broker-dealer or investment advisor (RIA). Licensed partners, directors, officers, employees, and independent contractors are eligible for membership even if their companies are not yet members of FSI. FSI will do everything possible to remind members to renew their memberships, primarily through email. However, it is the member's responsibility maintain accurate, up-to-date information in their member profile, and should update FSI on employment changes such as affiliating with a new broker-dealer or RIA. It is ultimately the member's responsibility to ensure their membership remains active. An active CRD number is required for all Advisor members and, should a member's CRD number become inactive, voluntarily or invol-untarily, it is the member's responsibility to notify FSI as soon as possible. FSI reserves the right to terminate membership at any time.*

*In accordance with the IRC SEC 6003, 100% of membership dues is estimated for lobbying, and is not deductible.  
FSI membership dues may not be deducted as a business expense or a charitable contribution.*