



FSI Council Application

Please email completed application to christine.bermingham@financialservices.org by October 1, 2022

Today's Date:

Name:

Title:

Firm:

Address:

City:

State:

Zip:

Phone:

Fax:

Email:

LinkedIn URL:

Please indicate which council you are interested in serving:

Accounting & Finance Council

Compliance Council

Human Resources Council

Investment Advisory Services Council

Operations & Technology Council

Experience:

Years with present firm

Years in present position

Years in financial services industry

Education/Licenses: (use additional sheet if necessary)

Previous Work Experience: (use additional sheet if necessary)



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Has there ever been any disciplinary or civil action entered against you by any legal or regulatory body?
(If yes or pending, please explain on a separate sheet)

Yes

No

Pending

Profile of your firm:

Total # of reps (firm/practice)

Broker-Dealer affiliation

Type of Firm

Please provide a brief description of your firm, including business mix:

Please describe your current and past involvement with FSI, such as event attendance, PAC contributions, meetings with regulators or legislators, etc.

Please describe your involvement in other industry organizations, such as FINRA, SIFMA, FPA, NAIFA, etc.

Why do you want to serve on an FSI council? (use additional sheet if necessary)

I have read the 2023 FSI Council Guide and agree to all terms and conditions

PLEASE NOTE: If your firm is not currently, or will not remain, an FSI member for the 2023 calendar year, you are not eligible to become a council member. Please consult with your firm to confirm membership before submitting your application.