

**Mike Pedlow** 

EVP, Chief Compliance Officer

Kestra Financial, Inc.

Austin, TX



**Robert Molinari** 

SVP & Chief Regulatory Affairs Officer

Commonwealth Financial Network

San Diego, CA



**Rob Guldner** 

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**Gregor Maitland** 

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Jason Albino

Chief Compliance Officer
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**Kevin Chase** 

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**Amita Chinnadurai** 

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Virginia Cook

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**Robert Coulter** 

Chief Compliance Officer, Director of Risk

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Dallas, TX

## MEET the TEAM

## **FSI Compliance Council**



**Tom Daniel** 

Head of Compliance Testing & Risk

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Veronica Hammond

SVP, Chief Compliance Officer

First Command Financial Services, Inc.

Fort Worth, TX



**Amanda Hawley** 

Managing Director, General Counsel

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New York, NY



**Matt Kinsella** 

Chief Compliance Officer

Ameritas Investment Company

Lincoln, NE



**Eric Kubilus** 

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**Patty McCallop** 

Senior Vice President & Chief Compliance Officer

**Cetera Advisor Networks LLC** 

El Segundo, CA



**Blaine Miller** 

**Chief Supervision Officer** 

Concourse Financial Group Securities

Birmingham, AL



**Randy Pistor** 

**Chief Legal Officer** 

Axtella

Ann Arbor, MI



Jamie Schlag

Sr. Compliance Officer Gradient Securities, LLC

Arden Hills, MN

## MEET the TEAM

## **FSI Compliance Council**



Shawn Scholz

Chief Compliance Officer

AE Wealth Management, LLC

Topeka, KS



Director of Compliance
Valmark Securities, Inc.
Akron, OH



Shawn Washington

Assistant Vice President,
Regulatory Compliance

Kestra Financial

Austin, TX



Chief Compliance Officer

Cambridge Investment Research,
Inc.

Fairfield, IA

want to JOIN the TEAM?

<u>Council Guide</u> for more information

Deadline for applications is October 1, 2024